



Better Risk Management

Delivering Best-In-Class Detection Systems to the Global Marketplace Requires Best-In-Class Export Controls Management.

AEB PROJECTS, SYSTEMS & SOLUTIONS || HIGHLIGHTS

- Complete Central Point of Audit
- Automated licence usage records
- Complete Technology Transfer records
- End use Documentation control
- Easily accessible Intranet based portal for widespread access



Dedicated to secure travel, protecting armed forces and the public, and safeguarding emergency responders from the growing possibility of CBRNE threats, Smiths Detection is providing the global market place with 21st Century technology to identify those threats and protect lives.

Under scrutiny

Smiths Detection's UK operation is subject to one of the most stringent regimes in the industry when it comes to Export Licence and Controls. As the majority of the equipment supplied by Smiths Detection falls under the auspices of Weapons of Mass Destruction controls, it is imperative that it has a Best-In-Class Export Compliance System in place. Continued growth in the business and the introduction of the Export Controls Act detailing the recording of Technology Transfers, placed additional burdens on top of the manual processes carried out within Smiths Detection.

The compliance team within Smiths Detection reviewed the processes in place and decided to further improve the already stringent systems and processes employed. During this review, it became apparent that

improvements could be achieved through harnessing technology to deploy a compliance program that could be integrated into employees' daily business, as opposed to a separate process.

Continually seeking to innovate

Smiths Detection established contact with AEB International who were in the midst of running a series of events focusing on export licence control. AEB were in the process of extending ASSIST4, their Foreign Trade Management software, to include further export licence control functionality and to develop tools for technology transfer management.

Whilst in the development phase, the Smiths Detection team contributed its wealth of export compliance knowledge to fully enrich the functionality of the system – input that has led to a breadth and depth of export controls functionality unrivalled in the industry.

The end deliverable was an integrated system designed to achieve the two primary goals of being an integrated operational tool for staff to incorporate compliance into day to day business, and being a centralised point of audit for appropriate governing bodies.

Compliance incorporated

Built around a modular system that allows scalability and flexibility, Smiths Detection deploy the system internationally allowing compliance staff in the UK, Singapore and US instant access to Licence Information.

A fundamental feature of the software is that all staff involved in Technology Transfers are immediately able to understand if relevant F680's and/or Export licences are available, regardless of their location and access to the export control officer. They are then able to self certify use, and record the appropriate technology transfer through a swift and automated process.

If the Export Licence Control officer deems a particular approval request or activity to be of concern, the system allows licences to be logged, but not approved for use until they have been reviewed by a member of the compliance team.

Additionally the Export Control Officer is alerted when an F680 or Licence has been searched for but no result has been found.

FUNCTIONALITY IN DETAIL

The system comprises three main modular components designed to manage key functions:

- Export Licence Management
- MOD F 680 Clearances
- Technology Transfers

The output from these modules includes functionality to suit both the corporate users and the Export Control Officer. Examples include:

- Automatic Generation of F680 Applications
- Configurable Licence Expiry Warnings
- End-User Expiry Warnings
- Scanned Document Storage Capability (F680's, Export Licences, End User Undertakings, Associated Supporting Documents)



Safeguarding against threats and saving lives is Smiths Detection's prime concern. The deployment of AEB's software suite ASSIST4 increased the levels of compliance and ensures secure export processes whilst saving time.

This allows the ECO to start the application process immediately, and also provides them necessary follow up information to ensure that non-compliant activity does not take place.

Further features allow sales staff to check the remaining balances on products controlled by SIELs and OIELs before committing to an order, preventing shipment delays later in the logistics process.

Efficiency via Integrated Modules

The combination of three Export control modules has allowed Smiths Detection to better control Technology Transfer records across the business, increase the efficiency of licence and end user documentation control and significantly reduce the time needed to prepare for audits.

Janet Mitchell ECO at Smiths Detection is happy with the improvements brought by AEB's software suite. She recalls: "Smiths Detection was audited by the DTI recently, and you will be happy to hear that the ASSIST4 system worked well. During the audit we managed to pull up all of the information required to answer the auditors'

questions. We even managed to supply answers to questions that were very hard to answer previously (one of them being how many technology transfers have been made against each licence!)."

Deployment of ASSIST4 within Smiths Detection has increased levels of compliance across the organisation, decreased associated complexity levels, accelerated compliance communication and achieved operational efficiencies.

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